



[3991] – 101

P.G.D.C.M.M. Examination, 2011
101 : MANAGEMENT PRINCIPLES AND PRACTICES (New)
(2008 Pattern)

Time : 3 Hours

Max. Marks : 70

Instructions : a) Attempt **any five** questions.
b) **Each** question carry **equal** marks.

1. Describe the role of RBI as controller of money market.
2. Discuss various functions of BSE and NSE for channelising money market operations.
3. Explain various functions of management in terms of capital market operations.
4. What is the role of SEBI in regulating and supervising operations and functions of stock exchanges in India ?
5. Describe the procedure for listing the company on national stock exchange in India.
6. What are the various types of mutual funds schemes available for investors in India ?
7. Write short notes (**any three**) :
 - a) Regional stock exchange
 - b) Membership on stock exchange
 - c) Corporate Governance
 - d) Social responsibility of exchanges
 - e) Evolution of stock exchanges in India.



[3991] – 102

P.G.D.C.M.M. Examination, 2011
102 : MARKETS OF NEW ISSUES (New)
(2008 Pattern)

Time : 3 Hours

Max. Marks : 70

Instructions : a) Attempt *any five* questions.
b) *All* questions carry **equal** marks.

1. Explain the role of venture capital in industrial development of economies like India.
 2. Explain various SEBI guidelines in regulating Mutual funds market in India.
 3. What is the need of following international standards of accounting in preparing and maintaining accounting statements ?
 4. Describe the role of SEBI in regulating and controlling merchant banking operations in India.
 5. Enumerate various guidelines of government of India for making foreign institutional investments in Indian capital market.
 6. Explain the role of merchant bankers in managing new issues and allied functions in capital market.
 7. Write short notes (**any three**) :
 - a) Mergers
 - b) Amalgamations
 - c) Take overs
 - d) Rights Issues
 - e) FDI's.
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[3991] – 104

P.G.D.C.M.M. Examination, 2011
104 : INVESTMENT ANALYSIS (New)
(2008 Pattern)

Time : 3 Hours

Max. Marks : 70

Instructions : i) Answer **any five** questions.
ii) **All** questions carry **equal** marks.

1. Enumerate financial systems available in Indian economy with reference to money markets, capital market and debt market.
2. Explain various investment avenues in Indian capital markets. How does objectives of the investor affect investment decision ?
3. Differentiate between technical analysis and fundamental analysis in Indian capital markets with suitable examples.
4. Discuss factors affecting behaviour of security market in India. Explain the mechanism of analysing securities market.
5. Explain the importance of household saving and investments. How does these savings and investments contribute in economic development of the country ?
6. Explain the concept of investment portfolio. What is the need of investment portfolio for average household investments ?
7. Write short notes (**any three**) :
 - a) Intrinsic value
 - b) Liquidity value
 - c) Dividend capitalization
 - d) Dow theory
 - e) Foreign exchange market.



[3991] – 105

P.G.D.M.M. Examination, 2011
105 : PORTFOLIO THEORY AND MANAGEMENT(New)
(2008 Pattern)

Time : 3 Hours

Max. Marks : 70

Instructions : 1) Attempt *any five* questions.
2) *Each* questions carries *equal* marks.

1. Explain the process of portfolio building for domestic and foreign portfolio investments.
2. Discuss concept of 'portfolio.' What is the need of portfolio management for managing investments effectively ?
3. Discuss various problems and difficulties faced by foreign portfolio investors. How does these problems can be solved ?
4. What is meant by optimal portfolio ? How is it identified ?
5. Explain various issues involved in portfolio selection process. Give suitable examples.
6. Describe the needs importance of portfolio regulation and management to achieve breakeven point between risk and return.
7. Write short notes (**any three**) :
 - a) Share costing
 - b) Balanced portfolio
 - c) Diversification of risk
 - d) Global depository receipts
 - e) Investment consultancy.



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P.G.D.C.M.M. Examination, 2011
101 : MANAGEMENT PRINCIPLES AND PRACTICES (Old)
(2005 Pattern)

Time : 3 Hours

Max. Marks : 60

Instructions : a) Attempt **any four** questions.
b) **All** questions carry **equal** marks.

1. Define Management and discuss nature of Management. Is Management an art or a science ?
2. Discuss the systems approach to operational management with suitable examples.
3. Define the scope of Mutual funds market in India. Discuss various types of mutual funds schemes.
4. Describe the role of RBI as controller of money market to ensure smooth functioning of money market.
5. “In Indian organisations, the social responsibilities are more in theory than in practice”. Do you agree ? Explain.
6. Write short notes (**any three**) :
 - a) Functions of stock exchanges
 - b) Corporate Governance
 - c) Sensex
 - d) SEBI
 - e) NSE and BSE.



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P.G.D.C.M.M. Examination, 2011
102 : MARKET OF NEW ISSUES (Old)
(2005 Pattern)

Time : 3 Hours

Max. Marks : 60

Instructions : a) Attempt **any four** questions.
b) **All** questions carry **equal** marks.

1. What is Merchant Banking ? Discuss the role of merchant bankers in developing economy like India.
2. How does foreign institutional investors influence capital market in India ?
3. Explain the need of using International Accounting standards to maintain accounting records in India.
4. Discuss the need of floating capital in secondary market. What are the current trends in public issues ?
5. Describe the concept and classification of Mutual funds. Highlight need and scope of Mutual funds in Indian capital market.
6. Write short notes (**any three**) :
 - a) Role of SEBI in secondary market
 - b) Venture capital in India
 - c) Foreign direct investment
 - d) Investment portfolio
 - e) Amalgamations.



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P.G.D.C.M.M. Examination, 2011
104 : INVESTMENT ANALYSIS (Old)
(2005 Pattern)

Time : 3 Hours

Max. Marks : 60

Instructions : i) Answer *any four* questions.
ii) *All* questions carry **equal** marks.

1. Discuss various factors influencing security pricing. Give suitable examples.
 2. Distinguish between fundamental analysis and technical analysis.
 3. How does objectives of investors define the composition of investment portfolio ?
Give suitable examples.
 4. What is the need and importance of study and research of market data for analysis of security market ?
 5. What are the efforts undertaken by government of India to maintain correlation and coordination between money market, debt market and capital market to ensure economic development of the country ?
 6. Write short notes (**any three**) :
 - a) Dow theory
 - b) Dividend capitalization
 - c) Valuation of securities
 - d) Financial intermediaries
 - e) Intrinsic value.
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P.G.D.C.M.M. Examination, 2011
105 : PORTFOLIO THEORY AND MANAGEMENT (Old)
(2005 Pattern)

Time : 3 Hours

Max. Marks : 60

Instructions : i) Attempt **any four** questions.
ii) **All** questions carry **equal** marks.

1. Explain the need and importance of regulation and management of portfolio to ensure optimum returns from investments.
2. Define the concept of balanced portfolio. How does it help in achieving optimal portfolio for better returns.
3. What are the various internal and external factors involved in portfolio selection process ?
4. Describe the process of setting of country funds in foreign portfolio investments with suitable examples.
5. What is the need and importance of risk and return analysis in portfolio management ? How does diversification of risk help in building a balance portfolio ?
6. Write short notes (**any three**) :
 - a) Efficient market hypothesis
 - b) Share costing
 - c) Liquidity Vs safety
 - d) Global Depository receipts
 - e) Characteristics of portfolio.



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P.G.D.C.M.M. Examination, 2011
103 : SECONDARY MARKETS (Old)
(2005 Pattern)

Time : 3 Hours

Max. Marks : 60

Instructions : i) Attempt **any four** questions.
ii) **All** questions carry **equal** marks.

1. Discuss the role of Foreign Exchange Management Act in regulating and controlling secondary market in India.
2. What is the need for planning and development of financial instruments for effective trading in secondary market ?
3. Discuss the role of depository participants in secondary market for economic development of the country.
4. Explain the need of risk management in securities market. Highlight its importance with suitable examples.
5. How does interest rates help in determining value of securities in secondary markets ? Give suitable examples.
6. Write short notes (**any three**) :
 - a) Securities contract Regulation Act of 1956
 - b) Gilt Edged Market
 - c) Futures and options
 - d) Paperless trading
 - e) Trading ring.