

Total No. of Questions : 6]

[Total No. of Printed Pages : 1

**[3685]-104**

**P. G. D. F. S. (Semester - I) Examination - 2009**

**FINANCIAL SERVICES - I**

**(2008 Pattern)**

**Time : 3 Hours]**

**[Max. Marks : 70**

**Instructions :**

- (1) Attempt **any 5** questions.
- (2) All questions carry equal marks.
- (3) Figures to the right indicate full marks.

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- Q.1)** What is Merchant Banking ? What are different services they render ? **[14]**
- Q.2)** What is Leasing ? How and when leasing is useful ? **[14]**
- Q.3)** How to mobilize Fixed Deposits ? Explain guidelines and restrictions, if any. **[14]**
- Q.4)** What is Depository, Depository Participant and its role in Capital Market ? **[14]**
- Q.5)** (A) What is the role of Registrar and Transfer Agent ? **[07]**  
(B) What is the Structure of Mutual Funds and Types of Mutual Funds ? **[07]**
- Q.6)** Write short notes : **(Any Two)** **[14]**
- (a) Hire Purchase Vs. Leasing
  - (b) Credit Rating
  - (c) Managing IPO

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**[3685]-104/1**

Total No. of Questions : 8]

[Total No. of Printed Pages : 3

**[3685]-101**

**P. G. D. F. S. (Semester - I) Examination - 2009**

**FINANCIAL AND COST ACCOUNTING**

**(2008 Pattern)**

**Time : 3 Hours]**

**[Max. Marks : 70**

***Instructions :***

- (1) *Question No. 1 is compulsory and carries 10 marks.*
  - (2) *Attempt **any two** questions from each section, carrying 15 marks each.*
  - (3) *Use of simple calculator is allowed.*
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**Q.1)** What is International Accounting Standard ? What role Accounting Standard Board plays in Harmonization of Global Accounting Practices ?

**SECTION - I**

**Q.2)** What are Elements of Cost ? Explain various classifications of Cost.

**Q.3)** What do you mean by Accounting Principles ? Explain in brief with suitable examples Accounting Concepts of Financial Accounting.

**Q.4)** What do you mean by Standard Costing ? Discuss its usefulness and limitations.

**Q.5)** Explain the following terms :

- (a) Journal
- (b) Ledger
- (c) Break-even Point
- (d) Contribution
- (e) Direct and Indirect Expenses

## SECTION - II

**Q.6)** Following is the information given in respect of Sun Ltd.

<b>Particulars</b>	<b>Rs.</b>
Stock of Raw Material (1-1-2000)	3,000
Purchase of Raw Material	75,000
Direct Wages	60,000
Sales of Finished Goods	1,63,000
Stock of Raw Material (31-1-2000)	3,200
Works on Cost	12,000
Office on Cost	14,680

The company would like to submit tender. Raw Material Rs. 4,000 and Wages Rs. 5,000. The percentage of works to wages and percentages of office on cost to works cost remain same. Tender is to be made at 10% profit on selling price.

**Q.7)** The sales and other costs of company are as follows :

<b>Year</b>	<b>Sales (Rs.)</b>	<b>Total Cost (Rs.)</b>
2003	1,50,000	1,20,000
2004	1,80,000	1,42,500

You are required to calculate :

- (a) P/V Ratio
- (b) Fixed Cost
- (c) BEP
- (d) Sales to earn a profit of Rs. 45,000

**Q.8)** Prepare Trading, Profit and Loss and Balance Sheet of M/s. Umesh Co. as on 31/03/2005 :

**Trail Balance as on 31-03-2005**

<b>Dr. Balance</b>	<b>Rs.</b>	<b>Cr. Balance</b>	<b>Rs.</b>
Bank Balance	6,800	Loan from Bank	20,000
Debtors	22,500	Creditors	15,000
Bills Receivable	10,000	Sales	65,800
Opening Stock	16,700	Purchase Return	2,200
Purchases	37,200	Bills Payable	8,200
Sales Return	800	Commission	2,300
Salaries	11,000	Capital	56,500
Wages	2,000		
Stationery	3,200		
Commission	1,000		
Machinery	41,000		
Carriage Inward	1,500		
Insurance	1,800		
Drawings	2,500		
Octroi Duty	800		
Motor Car	8,000		
Office Rent	3,200		
	<b>1,70,000</b>		<b>1,70,000</b>

**Other Information :**

- (1) Closing Stock valued at Rs. 21,000 but Market Value is Rs. 24,600.
- (2) Outstanding Expenses were as follows :
  - (i) Salaries Rs. 1,000
  - (ii) Stationery Rs. 800
- (3) Prepaid Insurance Rs. 600.
- (4) R.B.D.D. is to be provided at Rs. 500 on Debtors.
- (5) Motor Car to be depreciated at 5%.

Total No. of Questions : 8]

[Total No. of Printed Pages : 1

**[3685]-204**

**P. G. D. F. S. (Semester - II) Examination - 2009**

**FINANCIAL MARKETS**

**(2008 Pattern)**

**Time : 3 Hours]**

**[Max. Marks : 70**

**Instructions :**

- (1) Attempt *any five* questions.
- (2) All questions carry equal marks.

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- Q.1)** Explain role of Merchant Bankers in the issue of Shares and Debentures.
  - Q.2)** Discuss importance of Marketing in Financial Service Sector.
  - Q.3)** Explain regulations of Reserve Bank of India in respect to Money Market.
  - Q.4)** Explain role of U.T.I. and L.I.C. in respect to Industrial Finance and how small / medium investors are benefitted ?
  - Q.5)** Discuss in detail the contribution made by Development Bank in respect to industry.
  - Q.6)** Discuss in detail the procedural formalities involved in Lending Policy of Commercial Banks.
  - Q.7)** What is the importance of Stock Markets ? Comment on the present status of Indian Stock Market.
  - Q.8)** Write short notes :
    - (a) Role of Intermediaries
    - (b) Derivatives Trading

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**[3685]-204/1**

Total No. of Questions : 6]

[Total No. of Printed Pages : 1

**[3685]-203**

**P. G. D. F. S. (Semester - II) Examination - 2009**

**COMPANY LAW, SEBI AND FEMA**

**(2008 Pattern)**

**Time : 3 Hours]**

**[Max. Marks : 70**

**Instructions :**

- (1) Attempt *any 5* questions.
- (2) All questions carry equal marks.
- (3) Figures to the right indicate full marks.

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- Q.1)** (A) Differentiate between Private Company and Public Company. [07]  
(B) Explain different types of Companies. [07]
- Q.2)** (A) What is Memorandum of Association ? [07]  
(B) Explain in detail the Clauses of Memorandum of Association. [07]
- Q.3)** Explain various provisions of the Companies Act, 1956 regarding Account and Audit. [14]
- Q.4)** Write short notes : **(Any Two)** [14]  
(a) Buy Back of Shares  
(b) Statutory Meeting  
(c) Authorised Person  
(d) Bonus Shares
- Q.5)** Explain role and objectives of SEBI. [14]
- Q.6)** Differentiate between : [14]  
(a) Ordinary Business and Special Business  
(b) Annual General Meeting and Extra Ordinary General Meeting

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**[3685]-203/1**

Total No. of Questions : 7]

[Total No. of Printed Pages : 1

**[3685]-202**

**P. G. D. F. S. (Semester - II) Examination - 2009**

**INTERNATIONAL FINANCE**

**(2008 Pattern)**

**Time : 3 Hours]**

**[Max. Marks : 70**

**Instructions :**

- (1) Answer *any five* questions.
- (2) All questions carry equal marks.

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**Q.1)** Explain in detail the Balance of Payments Theory of Exchange Rate Determination.

**Q.2)** “The European Monetary System is the offshoot of the break down of the Bretton Woods System.” Discuss.

**Q.3)** Define the term Balance of Payment and also explain components of the Balance of Payments Account.

**Q.4)** Explain concepts and mechanics of Forward Contracts and Currency Swaps as currency risk management tools.

**Q.5)** Highlight main objectives and salient features of FEMA.

**Q.6)** Explain role of EXIM Bank in Trade Finance.

**Q.7)** Write short notes : **(Any Two)**

- (a) Letter of Credit
- (b) Fixed Exchange Rates
- (c) Incoterms
- (d) Bulldog Bonds

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**[3685]-202/1**

Total No. of Questions : 7]

[Total No. of Printed Pages : 1

**[3685]-201**

**P. G. D. F. S. (Semester - II) Examination - 2009**

**FINANCIAL SERVICES - II**

**(2008 Pattern)**

**Time : 3 Hours]**

**[Max. Marks : 70**

**Instructions :**

- (1) Attempt *any five* questions.
- (2) Each question carries equal marks.

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**Q.1)** What is CAPM ? Explain assumptions of CAPM.

**Q.2)** What is Unsystematic Risk ? Explain different types of Unsystematic Risks.

**Q.3)** Explain basic principles and hypotheses of Dow Theory.

**Q.4)** "Investment is well-grounded and carefully planned speculation." Discuss.

**Q.5)** Describe briefly important investment avenues available to savers in India.

**Q.6)** Write short notes : **(Any Four)**

- (a) Types of Investors
- (b) Public Issue
- (c) International Diversification
- (d) Objectives of Investment
- (e) Speculation

**Q.7)** Explain Consumer Behaviour relating to risk and return during different phases of Investment.

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**[3685]-201/1**

Total No. of Questions : 8]

[Total No. of Printed Pages : 2

**[3685]-22**

**P. G. D. F. S. (Semester - II) Examination - 2009**

**INTERNATIONAL FINANCE**

**(2005 Pattern)**

**Time : 3 Hours]**

**[Max. Marks : 60**

***Instructions :***

- (1) Attempt **any five** questions.*
  - (2) All questions carry equal marks.*
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- Q.1)** Critically examine the merits and demerits of the Fixed and Floating Exchange Rate Systems.
- Q.2)** Explain the importance of Incoterms, Bills of Lading and Letters of Credit in Foreign Trade.
- Q.3)** Briefly describe various Policies and Guarantees issued by the E.C.G.C. of India.
- Q.4)** What are the various Export Promotion Measures taken by the Government of India and the R.B.I. in recent years ?
- Q.5)** Distinguish between **any two** of the following :
  - (a) Direct and Indirect Methods of Quoting Exchange Rates
  - (b) Forward and Futures Transactions
  - (c) Spot and Forward Exchange Rates
- Q.6)** Is FEMA 1999 an improvement over FERA, 1973 ? Comment on the important provisions of FEMA, 1999.

**Q.7)** Explain the role of I.M.F. and I.B.R.D. in handling the B.O.P. crisis of their member countries.

**Q.8)** Write notes : **(Any Two)**

- (a) Export Credit provided by Banks
  - (b) Important Features of Forex Markets
  - (c) Currency and Interest Rate Options
  - (d) Lending Schemes of EXIM Bank
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Total No. of Questions : 7]

[Total No. of Printed Pages : 1

**[3685]-21**

**P. G. D. F. S. (Semester - II) Examination - 2009**

**FINANCIAL SERVICES - II**

**(2005 Pattern)**

**Time : 3 Hours]**

**[Max. Marks : 70**

**Instructions :**

- (1) Attempt *any five* questions.
  - (2) All questions carry equal marks.
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- Q.1)** What is Capital Asset Pricing Model ? State its assumptions.
- Q.2)** Explain process involved in the Institutional Portfolio Management.
- Q.3)** Explain various Forms of Investment and Risk of Investment.
- Q.4)** Discuss different methodologies for Building an Optional Portfolio for Basic and Fixed Investment.
- Q.5)** Write in detail on Marketing Mix and Market Segmentation in regard to Financial Service Sector.
- Q.6)** What is Risk ? Explain different types of Risks.
- Q.7)** Write short notes : **(Any Three)**
  - (a) Fundamental Analysis
  - (b) International Diversification
  - (c) Market Segmentation
  - (d) Portfolio Mix
  - (e) Ideal Index

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**[3685]-21/1**