



[3791] – 103

P.G. Dip. in Capital Market Mgt. Examination, 2010
103 : SECONDARY MARKETS (New)
(2008 Pattern)

Time : 3 Hours

Total Marks : 70

Instructions : i) *All questions carry equal marks.*

ii) *Attempt any five questions.*

1. Discuss interest rates and its influence on money market and capital market. Give suitable examples.
2. Explain Indian Depository System with reference to secondary markets. What is paperless trading ?
3. Explain the need of derivatives market in India. What are the major problems of these derivative markets ?
4. Describe functions and operations of stock markets in India. Explain sensex mechanism.
5. Discuss provisions of Securities Contract Regulation Act, 1956 regarding trading in secondary market.
6. Discuss government securities market in India. Explain Gilt-Edged market segmentation in government securities market.
7. Write short notes (**any two**) :
 - a) Online trading
 - b) Credit risk management
 - c) Investor protection
 - d) Futures and options.

B/I/10/145



[3791] – 104

P.G. Dip. in Capital Market Mgt. Examination, 2010
104 : INVESTMENT ANALYSIS (New)
(2008 Pattern)

Time : 3 Hours

Total Marks : 70

Instructions : i) *All questions carry equal marks.*

ii) *Attempt any five questions.*

1. Distinguish between money market and capital market. Explain the need of correlating these markets in developing economy like India.
2. What is the importance of household savings in money market ? Discuss role of savings practices and habits of middle class investors in money market.
3. What are the factors influencing behaviour of the security market ? Explain various methods of valuation of shares and securities.
4. Distinguish between fundamental analysis and technical analysis with suitable examples in the context of Indian Capital Market.
5. Explain various investment avenues in Indian Capital Market. How does objectives of the investors affect investment decision ?
6. What is investment portfolio ? Discuss the characteristics of a balanced portfolio in achieving the objectives of an investor.
7. Write short notes (**any two**) :
 - a) Debt market
 - b) Bullion market and foreign exchange market
 - c) Financial Intermediaries
 - d) Book value and liquidity value.

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[3791] – 105

Post Graduate Diploma in Capital Market Management Examination, 2010
105 : PORTFOLIO THEORY AND MANAGEMENT (New)
(2008 Pattern)

Time : 3 Hours

Max. Marks : 70

*Note : 1) Attempt **any five** questions.*

*2) **Each** question carries **equal** marks.*

1. It is often said that “diversification always pays”. Do you agree ?
2. What do you understand by the term portfolio management ? Explain with the help of suitable examples the ‘matrix approach’ in investment decisions.
3. “There is a trade off between risk and return”. Explain this statement.
4. What are the problems faced in building Foreign portfolio investments ?
5. Write short notes on (**any two**) :
 - a) Global Depository Receipts
 - b) Optimal portfolio
 - c) Types of risk
 - d) Liquidity Vs. safety.
6. Explain the criteria in evaluation of investments in the present era.
7. Trace the evolution of investment management over the years, highlighting the important developments.

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[3791] – 102

P.G. Dip. in Capital Market Mgt. Examination, 2010
102 : MARKETS OF NEW ISSUES
(2008 Pattern) (New)

Time : 3 Hours

Total Marks : 70

Instructions : a) *All questions carry equal marks.*
b) *Attempt any five questions.*

1. What is a Mutual Fund ? Explain various types of mutual funds with suitable examples.
2. Discuss the concept of 'New Issue Market' and explain the need of floating capital in business.
3. Describe the role of venture capital in industrial growth of developing countries like India.
4. Discuss the concept of 'Merchant Banking'. Explain various functions of Merchant Banking related to 'Pre-Issue Management' and 'Post-Issue Management'.
5. Discuss the impact of Foreign Direct Investments on stock markets in India.
6. What is the need of following 'International Standards of Accounting' in maintaining financial statements in India ?
7. Write short notes (**any two**) :
 - a) Guidelines of Government of India for FIIs
 - b) Public offer and private placement
 - c) Investment portfolio.
