

Diploma in Basic Stock Market

This is a basic level programme for those who wish to either begin a career in the financial market in India. Or simply learn the fundamentals of Capital markets, the course is structured to help understand the basic concept relating to different avenues of investment, the primary and the secondary market, the derivatives market and financial statement analysis.

Course Outline

Markets and Financial Instruments :

Types of Markets: Equity, Debt, Derivatives, Commodities; Meaning and features of Private and Public Companies; Types of investment avenues short and long term, Interest rate.

Primary Market:

Initial Public Offer (IPO); Book Building through Online IPO ; Eligibility to issue securities ; Pricing of Issues; Fixed versus Book Building issues; Allotment of share ; Basis of Allotment; Private Placement.

Secondary Market:

Role and Function of Securities and Exchange Board of India (SEBI); Depositories; Stock exchange ; Intermediaries in the Indian stock market ; Listing; Membership; Trading Clearing and settlement and risk management; Investor protection fund (IPF); and Do's and Don'ts for investors, Equity and debt investment.

Derivatives:

Types of derivatives; Commodity and commodity exchange; Commodity versus financial derivatives.

Depository: Depository Participant (DP), depositories in India, benefits of participation in a depository, ISIN, Custodian, dematerialize securities.

Mutual Fund:

Regulatory Body for Mutual Funds, benefits of investing in Mutual funds, NAV, Entry/ Exit Load, risk involved in investing in Mutual Funds, types of Mutual funds, rights Mutual Fund holder, Fund Offer, Active Fund, Passive Fund, ETF.

Clearing & settlement and Redressal

Clearing Corporation, Rolling Settlement, Pay-in and Pay-out, Auction, Book-closure/ Record date, No – delivery period, Ex-dividend date, Ex-date,. Arbitration, Investor Protection Fund

Corporate actions, ‘ Dividend, Stock Split, Buyback ,INDEX